

IAP10 Rec'd PCT 21 NOV 2005

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Docket No.: 12810-00104-US  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Lysander Chrisstoffels et al.

Application No.: 10/540097

Confirmation No.: Not Yet Assigned

Filed: June 20, 2005

Art Unit: N/A

For: AQUEOUS POLYMER DISPERSIONS

Examiner: Not Yet Assigned

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

Citation AA is an English language counterpart of Citations BA and BC. Citation AB is an English language counterpart of Citation BB. Citation AB is an English language counterpart of Citation BF. Citation AE is an English language counterpart of Citation BI. Citation AF is an English language counterpart of Citation BJ. English language abstracts are provided for Citations BD and BG.

Citation BF is also discussed at page 2, lines 6-8 of the specification. Citation BB is discussed at page 2, lines 11-15 of the specification. Citation BJ is discussed at page 2, lines 16-17 of the specification. Citation BD is discussed at page 2, lines 20-21 of the specification. Citation BH is discussed at page 2, lines 23-26 of the specification. Citation AC is discussed at page 2, lines 28-31 of the specification. Citation BI is discussed at page 2, lines 33-34 of the specification. Citation BG is discussed at page 2, lines 36-37 of the specification. Citation BE is discussed at page 3, lines 1-2 of the specification. Citation BA is discussed at page 3, line 4-8 of the specification.

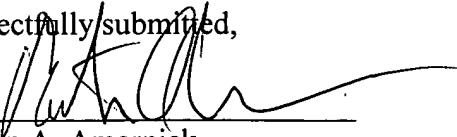
In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

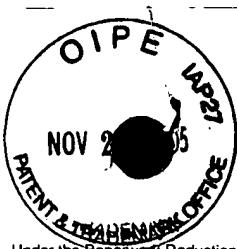
The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 03-2775, under Order No. 12810-00104-US.

Dated: November 21, 2005

Respectfully submitted,

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PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031  
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Substitute for form 1449A/B/PTO				<b>Complete if Known</b>	
				Application Number	10/540097
				Filing Date	June 20, 2005
				First Named Inventor	Lysander Chrisstoffels
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	12810-00104-US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
AA*	US-4,380,600	04-19-1983	Hosoda et al.		
AB*	US-6,231,876 B1	05-15-2001	Niessner et al.		
AC*	US-4,713,236	12-15-1987	Hoover et al.		
AD*	US-2003/0199642 A1	10-23-2003	Schneider et al.		
AE*	US-6,271,327	08-07-2001	Niessner et al.		
AF*	US-2002/0198317 A1	12-26-2002	Fong et al.		

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			
BA	DE-29 24 663 A1	12-20-1979	Showa Denko K.K., Tokio		
BB	EP-0 929 285 B1	07-21-1999	BASF AKTIENGESELLSCHAFT		
BC	FR-2 429 225	01-18-1980	Showa Denko K.K.		
BD	WO-00/27893 A1	05-18-2000	BASF AKTIENGESELLSCHAFT		
BE	WO-02/083085 A1	10-24-2002	ONDEO NALCO COMPANY		
BF	WO-02/15854 A1	02-28-2002	BASF AKTIENGESELLSCHAFT		
BG	WO-02/34796 A1	05-02-2002	SNF S.A.		
BH	WO-96/03969 A1	02-15-1996	NATIONAL STARCH AND CHEMICAL INVESTMENT HOLDING CORPORATION		
BI	WO-98/04596	02-05-1998	BASF AKTIENGESELLSCHAFT		
BJ	WO-98/54234	12-03-1998	NALCO CHEMICAL COMPANY		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
	CA	K.C. Berger, G. Brandrup, Transfer Constants to Monomer, Polymer, Catalyst, Solvent, and Additive in Free Radical Polymerization, Institut fur Physikalische Chemie, Vol. II, pp. 81-142			✓

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Date Considered
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